

| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. AM-5308.D1 |
|---|--------------------------------|---------------------|--------------------------|
| In Re Application Of: Michael A. MILLER et al. | | | |
| Serial No. 10/643,281 | Filing Date August 18, 2003 | Examiner unknown | Group Art Unit 1753 |
| Title: ONE OR MORE SHIELDS FOR USE IN A SPUTTER REACTOR | | | |
| Address to: Assistant Commissioner for Patents Washington, D.C. 20231 | | | |
| 37 CFR 1.97(b) | | | |
| 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | |
| 37 CFR 1.97(c) | | | |
| 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); | | | |
| OR | | | |
| <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
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In Re Application: Michael A. MILLER et al.

Serial No.
10/643,281Filing Date
August 18, 2003Examiner
unknownGroup Art Unit
1753**ONE OR MORE SHIELDS FOR USE IN A SPUTTER REACTOR****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
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I certify that this document and fee is being deposited on April 2, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Dated: April 2, 2004

Charles S. Guenzer, Reg. No. 30,640

(650) 566-8040

Mailing Address:

Applied Materials, Inc.

Patent/Legal Department

P.O. Box 450A

Santa Clara, CA 95052

cc:

Attorney Docket No.:

3600.5308.D1

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| U.S. Department of Commerce, Patent and Trademark Office | | | | | | Docket No.: AM-5308.D1 | | |
| | | | | | | Serial No.: 10/643,281 | | |
| LIST OF RELEVANT ART CITED BY APPLICANT | | | | | | Applicant: Michael A. MILLER et al. | | |
| (Use several sheets if necessary) | | | | | | Filing Date: August 18, 2003 | | |
| | | | | | | Group: 1753 | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate | |
| | AA | 6,290,825 | 09/18/01 | Fu | 204 | 298.02 | | |
| | AB | 6,296,747 | 10/02/01 | Tanaka | 204 | 298.07 | | |
| | AC | 6,423,192 | 07/23/02 | Wada et al. | 204 | 298.08 | | |
| | AD | | | | | | | |
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| | AH | | | | | | | |
| FOREIGN PATENT DOCUMENTS (Translation) | | | | | | | | |
| | | Document Number | Date | Country | Class | Subclass | Yes | No |
| | AL | | | | | | | |
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| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
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| Examiner | | | Date Considered | | | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. | | | | | | | | |

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Fax No.: 703-872-9306

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Charles S. Guenzer, Esq.
Law Offices of Charles Guenzer
2211 Park Blvd.
P.O. Box 60729
Palo Alto, California 94306
fax: (650) 566-8042
voice: (650) 566-8040
email: csg@palypat.com

Re: IDS Under 37 CFR 1.97(b)

Your Ref: USSN 10/643,281

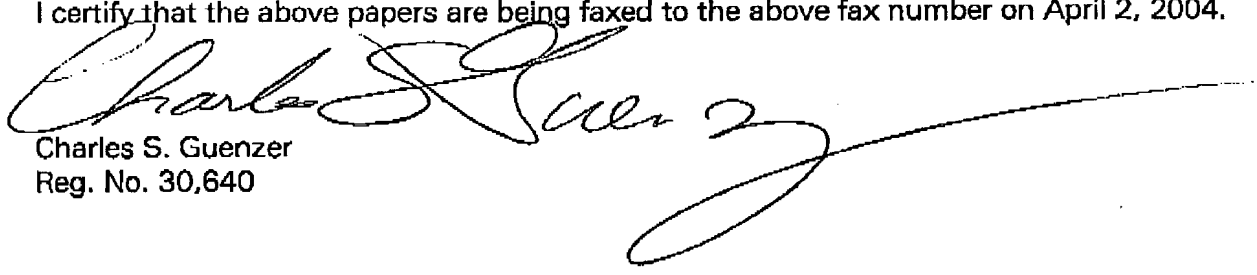
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REMARKS

Attached is an Information Disclosure Statement:

- (1) Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) (2 pp.)
- (2) 1449

I certify that the above papers are being faxed to the above fax number on April 2, 2004.


Charles S. Guenzer
Reg. No. 30,640

Date:

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No. of Pages (including cover):

4